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| .%/ | | | Application Number | 10/619,153 |
| TRA | NSMITT | AL | Filing Date | Jul 14, 2003 |
| | FORM | | First Named Inventor | DeTreville |
| (to be used for a | ll correspondence afte | er initial filing) | Group Art Unit | 2134 |
| · p | | | Examiner Name | MORSE, GREGORY ALLAN |
| Total Number o | f Pages in This Subm | ission | Attorney Docket Number | MS1-282USC12 |
| | | ENCL | OSURES (check | all that apply) |
| Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/de Extension of Time R Express Abandonm Information Disclose Certified Copy of Pr Document(s) Response to Missin Incomplete Applicat Response to under 37 CFI | claration(s) Request rent Request ure Statement iority g Parts/ ion Missing Parts R 1.52 or 1.53 | CD, Nu | to Convert to a nal Application of Attorney, Revocation of Correspondence al Disclaimer of for Refund mber of CD(s) | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO Form 1449; 3 cited references; return postcard |
| | SIGNATU | JRE OF APPLI | CANT, ATTORNEY, OR | AGENT_ |
| Firm or Individual name | Allan T. Sponseller | , Reg. No. 38,3 | | |
| Signature | an- | 7.5 | | |
| Date | 81 | 12/04 | | |
| | | CERTIFIC | ATE OF MAILING | |
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| FEE TRANS | | Complete if Known | | |
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| FEE IRANS | DIVITIAL | Application Number | 10/619,153 | _ |
| for FY 2 | 2004 | Filing Date | Jul 14, 2003 | |
| Effective 10/01/2003. Patent fees are su | | First Named Inventor | DeTreville | |
| | · <u> </u> | Examiner Name | MORSE, GREGORY ALLAN | |
| Applicant claims small entity status. | See 37 CFR 1.27 | Art Unit | 2134 | _ |
| TOTAL AMOUNT OF DAVMENT | (\$\ 0.00 | <u> </u> | 1404 000110040 | |

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| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | |
| Check Credit card Money Other None | a 3. ADDITIONAL FEES | | | | |
| Order Order | Large Entity Small Entity | | | | |
| ✓ Deposit Account: | Fee Fee Fee Fee Fee Description | | | | |
| Deposit Account 12-0769 | Code (\$) Code (\$) | Paid | | | |
| Number | 1051 130 2051 65 Surcharge - late filing fee or oath | | | | |
| Deposit Account Name Lee & Hayes, PLLC | 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet | | | | |
| The Director is authorized to: (check all that apply) | 1053 130 1053 130 Non-English specification | | | | |
| Charge fee(s) indicated below | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination | | | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action | | | | |
| Charge fee(s) indicated below, except for the filing fee | 1805 1,840* 1805 1,840* Requesting publication of SIR after | | | | |
| to the above-identified deposit account. | Examiner action | | | | |
| FEE CALCULATION | 1251 110 2251 55 Extension for reply within first month | | | | |
| 1. BASIC FILING FEE | 1252 420 2252 210 Extension for reply within second month | | | | |
| Large Entity Small Entity | 1253 950 2253 475 Extension for reply within third month | | | | |
| Fee Fee Fee Fee Description Fee Paid | 1254 1,480 2254 740 Extension for reply within fourth month | | | | |
| 1001 770 2001 385 Utility filing fee | 1255 2,010 2255 1,005 Extension for reply within fifth month | | | | |
| 1002 340 2002 170 Design filing fee | 1401 330 2401 165 Notice of Appeal | | | | |
| 1003 530 2003 265 Plant filing fee | 1402 330 2402 165 Filing a brief in support of an appeal | | | | |
| 1004 770 2004 385 Reissue filing fee | 1403 290 2403 145 Request for oral hearing | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1,510 1451 1,510 Petition to institute a public use proceeding | | | | |
| | 1452 110 2452 55 Petition to revive - unavoidable | | | | |
| | 1453 1,330 2453 665 Petition to revive - unintentional | | | | |
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| Claims - 3** = | 1460 130 1460 130 Petitions to the Commissioner | | | | |
| Multiple Dependent | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1806 180 1806 180 Submission of Information Disclosure Stmt | | | | |
| Code (\$) Code (\$) | 8021 40 8021 40 Recording each patent assignment per property (times number of properties) | | | | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 1809 770 2809 385 Filing a submission after final rejection | | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | (37 CFR 1.129(a)) 1 1810 770 2810 385 For each additional invention to be | - | | | |
| 1204 86 2204 43 ** Reissue independent claims | examined (37 CFR 1.129(b)) | | | | |
| over original patent | 1801 770 2801 385 Request for Continued Examination (RCE) | [| | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 900 1802 900 Request for expedited examination of a design application | | | | |
| SUBTOTAL (2) (\$) 0.00 | Other fee (specify) | | | | |
| **or number previously paid. if greater: For Reissues. see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 | | | | |

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Allan T. Sponseller 38,318 Telephone (509) 324-9256 (Attorney/Agent) Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TE UNITED STATES PATENT AND TRADEMARK OFFICE Inventorship DeTreville Applicant Microsoft Corporation Group Art Unit2134 Examiner MORSE, GREGORY ALLAN Attorney's Docket No.MS1-282USC12 Title: Method And Apparatus For Authenticating An Open System Application To A Portable IC Device 7 **INFORMATION DISCLOSURE STATEMENT** 9 References -- See Attached Form PTO-1449 10 REMARKS 11 The citations listed, copies attached, are submitted in compliance with the 12 duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make 13 these citations of official record in this application. 14 Pursuant to 37 CFR §1.98(3)(i), the JP1040172 reference is believed to be 15 relevant due to its having been cited in an application that is co-pending with the 16 present application and that claims priority to a same parent application as the 17 present application. The JP1040172 reference includes an English-language 18 abstract. 19 20

Respectfully Submitted,

Allan T. Sponseller Reg. No. 38,318

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Date: _8/12/04

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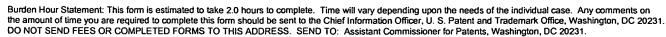
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| Application Number | 10/619,153 |
| Filing Date | Jul 14, 2003 |
| First Named Inventor | DeTreville |
| Group Art Unit | 2134 |
| Examiner Name | MORSE, GREGORY ALLAN |
| Attorney Docket Number | MS1-282USC12 |

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| Examiner Initials | Cite No.1 | U.S. Paten Number | Kind Code ² | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | . Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | | JP1040172 | (************************************** | Toshiba KK | 02-1998 | Figures Appear | Ė |
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English tanguage Translation is attached.





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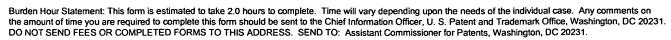
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| Application Number | 10/619,153 |
| Filing Date | Jul 14, 2003 |
| First Named Inventor | DeTreville |
| Group Art Unit | 2134 |
| Examiner Name | MORSE, GREGORY ALLAN |
| Attorney Docket Number | MS1-282USC12 |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-------------------|--------------|---|----|
| Examiner Initials | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| | | "The TrustNo1 Cryptoprocessor Concept", Markus Kuhn, April 30, 1997, 6 pages. | |
| | | "Seybold Report on Internet Publishing", Matt McKenkie, Vol. 1, No. 4, p6(9), December 1996, 12 pages. | |
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